Analysis of Thoracic Spine Thrust Manipulation for Reducing Neck Pain

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Abstract. [Purpose] The cervical spine is a common site of pain, which may arise from different parts of the upper limbs or dysfunctions of the upper thoracic spine. The different sections of the spinal column are interlinked, and one region exerts an influence over another. Thus, a low range of motion (hypomobility) in the thoracic spine is an indicator of neck pain, and alterations in the cervical spine can occur due to dysfunctions of the thoracic region. The aim of the present study was to assess the efficacy of upper thoracic spine (T1–T4) thrust manipulation with regard to reduction of pain and disability in patients with neck pain. [Subjects and Methods] Twenty-five individuals with persistent neck pain upon movement participated in this study. The individuals were evaluated using the Neck Disability Index and a visual analog scale for pain. Each individual underwent five sessions of thoracic spine thrust manipulation. Data analysis involved the Student’s t-test. [Results] Significant improvements were found in neck pain and disability. [Conclusion] Based on the results of the present study, thoracic spine thrust manipulation proved effective in the treatment of individuals with neck pain, leading to a reduction in both pain and disability.

Key words: Neck pain, Thoracic spine thrust manipulation

INTRODUCTION

Neck pain is a common symptom in adults. A large portion the population experiences neck pain at some time in life, with prevalence rates ranging from 30% to 50%[1]. Moreover, costs related to this symptom have increased considerably[2].

Neck pain is classified based on underlying health problems and emerges in the form of reduced mobility, cervical pain with headache, cervical pain with impaired coordinated movements and irradiating cervical pain. Neck pain related to deficient mobility may be caused by the mobility of different joints, upper arm pain, thoracic pain, the resistance of isolated muscles, ligaments and fascia and certain activities of daily living (driving, swimming, household chores or lying down)[3].

The cervical spine is a common site of pain that may arise from different parts of the upper limbs or dysfunctions of the upper thoracic spine[5]. As the different sections of the spinal column are interlinked and one region exerts an influence over another, a low range of motion (hypomobility) in the thoracic spine is an indicator of neck pain, and alterations in the cervical spine can occur due to dysfunctions of the thoracic spine[5, 6].

Treatment of the spinal column and soft tissues in cases of neck pain is performed by physiotherapists, osteopaths and chiropractors[7]. According to Chaitow[8], osteopathic medicine is a health field that treats the body as a whole, with the belief that any alteration in the musculoskeletal system can affect other functions. The author also describes the self-healing of the body, which is capable of maintaining homeostasis, but this process is dependent on both intrinsic and extrinsic factors. Osteopathic medicine is a method of treatment that employs manipulation techniques for the assessment and correction of mechanical deficiencies in the body.

When a joint is unable to perform its normal functional movements, it is characterized as hypomobile. For maintenance of the functionality of the body, a compensatory movement (characterized as hypermobile) is required. While a hypomobile structure is asymptomatic, excessive compensatory movements often overload the structure, leading to pain[9]. Treatment of hypomobility should be performed with the technique referred to as thrust, the aim of which is to correct the biomechanics, leading to an increase in the range of motion and muscle tone and a reduction in pain[10]. Thrust is a thoracic manipulation technique that applies a movement of high velocity and low amplitude to a joint. This procedure may generate a cavitation, which is an indication that the movement has been performed successfully. The method is called by different names: adjustment, high-velocity thrust, mobilization with impulse and grade V
for patients with neck pain. The NDI has a maximum score of 50 points. Higher scores represent increased levels of disability. The NDI is the most widely used condition-specific tool for measuring the extent to which neck pain affects the performance of activities of daily living. This index is made up of 10 questions, each with six response options ranging from 0 to 5. Thus, the total score ranges from 0 to 50 points, with higher scores denoting greater disability.

SUBJECTS AND METHODS

An experimental clinical trial was carried out at the Motor Control Laboratory of Guairacá College, Guarapuava, Paraná, Brazil. This study received approval from the Human Research Ethics Committee of the Central-Western State University (Brazil) under protocol number 141/2011 in compliance with Resolution 196/96 of the Brazilian National Board of Health. All volunteers were informed with regard to the objectives and procedures of the study and agreed to participate by signing a statement of informed consent.

Thirty-five volunteers filled out the NDI, but three did not achieve the minimum score of 10% for inclusion, and seven decided not to participate. Thus, 25 adult volunteers (2 men and 23 women) participated in the study. Age ranged from 18 to 30 years (mean: 21.8 ± 3 years). Mean height was 166 ± 0.6 cm, mean body mass was 60.12 ± 13 Kg and mean body mass index (BMI) was 21.8 ± 4 Kgm². BMI was based on the equation proposed by the Diet, Nutrition and the Prevention of Chronic Diseases publication issued by the World Health Organization.

The inclusion criteria were age between 18 and 30 years, either gender and pain in the cervical region during neck movements (flexion-extension, rotation and lateral inclination). The following were the exclusion criteria: score below 10% on the NDI, symptoms of bone weakness due to tumor, infection, congenital condition or trauma, neurological impairment, history of whiplash, vascular conditions (vertebrobasilar insufficiency and aneurysm), pregnancy and non-consent for participation.

The patients included in the study underwent treatment consisting of five sessions of thrust, which were performed in a treatment period of fifteen days, and then one session every three days. The NDI and VAS were administered in a treatment period of fifteen days, and then one session consisting of five sessions of thrust, which were performed in the first four sessions. Thoracic spine thrust manipulation was performed in the second, third and fourth sessions. Thoracic spine thrust manipulation was performed in the first four sessions.

According to the Motor Accident Insurance Commission (2011) of Australia, the Neck Disability Index (NDI) can detect a 5 point (10%) change in neck pain with a 90% confidence interval. The NDI is an important assessment tool for measuring the extent to which neck pain affects the performance of activities of daily living. This index is made up of 10 questions, each with six response options ranging from 0 to 5 points. Thus, the total score ranges from 0 to 50 points, with higher scores denoting greater disability.
questionnaire is divided into three categories (pain, daily activities and attention) and has been used in a number of studies involving patients with neck pain \(^{18,21}\). A visual analog scale (VAS) can also be employed to measure patient pain and determine the efficacy of treatment over time. The patient is shown a scale ranging from 0 (absence of pain) to 10 (unbearable pain), on which he/she places a mark corresponding to his/her current level of pain. This scale was used in the study carried out by Krauss et al. \(^ {23}\).

Thoracic manipulation was performed using the “dog” or “pistol-grip” technique. According to the model recently proposed by Mintken et al. \(^ {24}\), thrust manipulation can be described as a high-velocity, end-range, anterior-posterior force applied through the elbows to the upper thoracic spine on the midthoracic spine in cervicothoracic flexion. This technique was performed with the patient in a supine position. The therapist used his or her manipulative hand to stabilize the inferior vertebra of the motion segment targeted and used his or her body to push down through the patient’s arms to perform a high-velocity, low amplitude thrust.

Descriptive and inferential statistics were performed using SPSS for Windows 16.0. The Shapiro-Wilk test was employed to determine the normality of the data. The Student’s t-test was used for analysis of parametric data, and the Wilcoxon test was used for nonparametric data. The level of significance on all statistical tests was set to 5% (\(p \leq 0.05\)). The results of the NDI and VAS for neck pain before and after thoracic manipulation are expressed as the mean and standard error.

### RESULTS

An approximately 65% reduction in pain was found between the first and final VAS scores (4.14 and 1.44 points, respectively). Moreover, a gradual reduction in pain was observed throughout the sessions. In the analysis of the acute effect of thoracic manipulation, a significant reduction in neck pain was found immediately following administration of the thrust technique (\(p<0.001\)).

The mean NDI scores were 9.76 (19.52%), 7.64 (15.28%), 6.36 (12.72%), 5.44 (10.88%) and 4.44 (8.88%) in sessions 1 through 5, respectively. A statistically significant reduction in NDI score was found at the end of the sessions (\(p<0.0001\)). Approximately 54.5% of the reduction in disability (5.32 points or 10.64%) occurred between the first and last session, and approximately 40% of the reduction in disability (2.12 points or 4.24%) occurred after manipulation in the first session. Statistically significant differences were found in the NDI score before and after the five sessions of thoracic manipulation (\(p<0.0001\)) (Table 2).

### DISCUSSION

In the present study, statistically significant improvements were found in both the NDI and VAS for neck pain. However, the significant improvement in pain reported by the patients only occurred after the second session of thoracic manipulation.

Manual therapy generates a hypoalgesic effect and causes excitation of the sympathetic nervous system \(^ {25}\). Muscle activity is reported to increase after a single manipulation of a particular site of the spinal column, with greater resistance to fatigue in the corresponding muscle \(^ {30}\). According to Gibbons and Tehan \(^ {10}\), thrust leads to a reduction in tonus and improved range of motion in a zygapophyseal joint. According Couto \(^ {27}\), the significant feature of joint manipulation in clinical practice is its immediate effect on the change in pain threshold. Wright et al. \(^ {30}\) observed a degree of hypoalgesia in a period of seconds to minutes after application of this technique. According to them, the descending noradrenergic system acts on the spinal cord and inhibits the release of P substance, stimulating the release of endogenous opioids in the spinal cord.

A review by Gross et al. \(^ {29}\) using 33 studies concluded that mobilization and/or manipulation, when used with exercise, are beneficial for persistent mechanical neck disorders with or without headache. Walker et al. \(^ {30}\) conducted a study to analyze the effectiveness of manual therapy and exercise for neck pain, and it can be seen that a program of manual therapy and exercise for neck pain resulted in clinically and statistically significant improvement in the short and long term, especially in issues such as pain, disability, and perceived recovery of the patient, when compared with a counseling program for patients, isolated mobility exercises and therapeutic ultrasound.

In a randomized controlled clinical study conducted by Hoving et al. \(^ {31}\) in order to verify the effectiveness of manual...
therapy in patients with neck pain compared with physical therapy and medication, 183 patients were followed, and it was concluded that manual therapy achieved a statistically significant difference (p=0.02) compared to the other treatments in the first 7 weeks of monitoring.

In a randomized study carried out by Cleland et al.\textsuperscript{33}, the group having received thrust manipulation achieved immediate improvements in pain compared with a group that received placebo manipulation. The NDI was only used at the beginning of the study to determine disability in the two groups (33.6% in the placebo group and 28.4% in the thrust group). The score of the visual employed (scores ranging from 0 to 100) was 41.6 in the thrust group and dropped to 26.1 immediately following manipulation. In the present study, the VAS was not administered immediately following the first session of manipulation. However, VAS scores in the subsequent sessions revealed statistically significant reductions in pain immediately following thoracic manipulation, which is in agreement with the findings described in the above study.

In a comparative study of two sessions of either upper and middle thoracic manipulation or mobilization (grades III and IV), the group submitted to manipulation achieved a mean reduction of 15.5% in the NDI (final score: 18%) and a mean reduction of 2.6 points on the VAS (mean final score: 2.7 points). In the second session, which was held two to four days after the first, only the questionnaires were applied.\textsuperscript{34} In the present study, the mean reduction in NDI between the first and second sessions was 4.2%. This difference may have been due to the fact that thrust manipulation was only performed on the upper thorax and the initial NDI was lower in comparison to the with the above study.

In a study carried out by Cleland et al.\textsuperscript{35}, six thoracic manipulations (upper and middle) were performed in up to three sessions. The group for which manipulation was successful experienced greater improvements in pain (VAS = 2.2) and disability (NDI = 18.6%) in comparison with the group that did not achieve success with manipulation. In agreement with these findings, the volunteers in the present study reported a significant improvement in pain and achieved a significant improvement in NDI scores after five sessions of thoracic manipulation.

In a comparative study carried out by Fernandez-de-las-Penas et al.\textsuperscript{36}, a control group received five sessions of electrothermal therapy, and an experimental group received both electrothermal therapy and thoracic manipulation. The groups submitted to manipulation achieved lower pain scores in the first session (acute effect of manipulation: 25% reduction in pain) as well as after five sessions (63% accumulated reduction in pain), thereby demonstrating the efficacy of this technique in both the short and long terms. In agreement with these findings, the present study demonstrated a reduction in pain immediately following manipulation as well as after five sessions of manipulation. In both studies, the reduction in pain immediately following manipulation was not maintained in the subsequent session, but the VAS score prior to the subsequent manipulation was lower than that prior to the previous session. Dishiman and Bulbulian\textsuperscript{37} concluded that manipulation and mobilization of the spinal column may cause short-term inhibitory effects of the motor system, but these effects are transitory, which may explain the reduction in pain immediately following manipulation.

In a study by Cleland et al.\textsuperscript{16}, one group was submitted to an exercise program and thoracic manipulation, and another group was submitted to the exercise program alone in five sessions over the course of four weeks. The group submitted to both manipulation and exercise demonstrated greater improvements in disability (initial NDI = 30 NDI in Week 1 = 16 NDI in Week 4 = 10 and NDI after six months = 8) than the group submitted to exercise alone (initial NDI = 29 NDI in Week 1 = 18 NDI in Week 4 = 15 and NDI after six months = 13). However, the experimental group only achieved a significant improvement in VAS scores (initial VAS = 4.4 VAS in Week 1 = 2.3 VAS in Week 4 = 1.8 VAS after 6 months = 1.5) after the first session in comparison with the control group (initial VAS = 4 VAS in Week 1 = 3.2 VAS in Week 4 = 2.0 VAS after 6 months = 1.8). In the present study, significant improvements were found in both the NDI and VAS scores in all sessions, but pain intensity was not assessed after the five sessions.

In a study carried out by Lau, Chiu and Lam\textsuperscript{38}, the intervention group was submitted to thoracic spine manipulation, infrared radiation therapy and a program of orientation and cervical exercises in eight sessions held over four weeks, whereas the control group was submitted to infrared radiation therapy and the orientation program. A greater reduction in pain was found in the group submitted to thoracic spine manipulation, with a mean initial VAS of 5.02, immediate posttreatment VAS of 3.14, VAS three months after treatment of 3.29 and VAS six months after treatment of 2.98.

Following thoracic spine manipulation, Fernandez-de-las-Penas et al.\textsuperscript{39} found a reduction in neck pain and a tendency toward an increase in cervical range of motion in a single session. The NDI was only employed as an inclusion criterion (mean score: 14.4). The VAS score was significantly reduced from an initial score of 5.5 to 2.9 immediately following manipulation and was 2.7 after 48 hours. In the present study, a greater reduction in pain was found after each session in comparison with the first session, and a significant reduction in pain was found as well.

Manipulation of the upper thorax (T1 to T4) in patients with persistent neck pain upon movement led to an improvement in cervical rotation among all subjects, who also reported improvement in pain following manipulation. Thus, thrust thoracic manipulation may be considered an effective treatment for cervical movement deficiency.\textsuperscript{20} Yip et al.\textsuperscript{35} measured the craniovertebral angle in individuals with neck pain and found that this angle was diminished in accordance with pain severity and neck disability in comparison with individuals without neck pain. Thoracic spine manipulation may reduce mechanical stress in the cervical spine, consequently improving chronic neck pain\textsuperscript{33} and reducing the degree of disability.\textsuperscript{23} This procedure may also be an adequate method for the treatment of cervical dysfunctions either alone or as a complement to other procedures.\textsuperscript{6, 21}

The results of the present study demonstrate that high-
speed, low-amplitude thrust manipulation of the upper thoracic spine (T1 to T4) is an effective treatment for reduction of neck pain upon movement and neck disability, as measured by a visual analog scale and the Neck Disability Index. This method can be employed in combination with other treatment modalities, such as an exercise program and instructions to the patient to maintain analgesia, for improvement in the quality of life of patients with neck pain and disability. Further studies should be carried out combining thoracic spine thrust manipulation with other techniques and measuring both the acute and long-term effects of this technique.

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